

# Develop a Strategy

*AFP SMART: A Guide to Quick Wins—  
Build Consensus, Focus Efforts,  
Achieve Change*

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**Strategy development** is an essential part of advocacy because it brings stakeholders together and lays the foundation for bringing about change. Change rarely comes from the strength of a persuasive argument alone. Rather, effective advocacy requires the time and commitment to map out the policy landscape, set a focused objective, and identify the principal decisionmaker and the most appropriate messenger.

AFP SMART: A Guide to Quick Wins features examples from AFP's focus countries on what has worked to increase financial and political support for family planning. It also includes group exercises and worksheets to foster the creation of a results-oriented plan for reaching the right decisionmaker with the right message at the right time.



# Build Consensus, Focus Efforts, and Achieve Change

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# Introduction

Advance Family Planning’s (AFP) advocacy approach focuses on achieving “quick wins”—the discrete, critical policy or funding decisions that must occur in the near term to achieve a broader goal. AFP SMART: A Guide to Quick Wins outlines a step-by-step approach to developing a focused, collaborative advocacy strategy that leads to quick wins. Quick wins generally fall within three categories and result in

- Increased funding;
- Change in a law, policy, or regulation; or
- Improved implementation or accountability of an existing law, policy, or regulation.

The AFP SMART Advocacy Guide features nine steps divided into three phases:

Phase 1: Build Consensus		
Step 1: Decide Who to Involve	Step 2: Set SMART Objectives	Step 3: Identify the Decisionmaker
Phase 2: Focus Efforts		
Step 4: Review the Context	Step 5: Know the Decisionmaker	Step 6: Determine the Ask
Phase 3: Achieve Change		
Step 7: Develop a Work Plan	Step 8: Set Benchmarks for Success	Step 9: Implement and Assess

Our approach requires time, commitment, and focus. It also requires knowledge of the issues that impede access to family planning and of the policy and programmatic environment in which decisions are made.

In developing a strategy, it is often useful to convene a planning session that continues for at least two days. Though it is helpful to have advocacy expertise represented in the planning group, it is not necessary that all participants be advocates. However, all participants should be dedicated to an overall goal—such as meeting the needs of women and couples for voluntary, non-coercive family planning. A facilitator should lead the group of family planning champions through steps 2–8. Step 1 outlines how to plan for the session. Use the worksheets in Appendix 1 to capture the results of group exercises. Refer to Appendix 2 for an overview of each step.

# Phase 1: Build Consensus

Building consensus is not always easy, but it is necessary to achieve advocacy objectives. When planning a strategy development session, start with a clear idea of the issue you want to address. What is your vision? Why is advocacy the right approach?

## Step 1: Decide Who to Involve

The chance for success increases when you bring the right people into the strategy development session. A small group is ideal for creating a focused advocacy strategy; however, larger groups may provide more perspectives and shed more light on the policy environment. In rare cases, one person may be able to complete a strategy, but subsequently, consensus should be obtained among those who will implement the plan.

A broad range of actors—like-minded nongovernmental organizations (NGOs), government officials with insider advice, researchers or implementers with program experience, economists or budget analysts, and healthcare providers—can all lend their expertise. Such expertise and the right mix of actors are vital for making the right request to the right decisionmaker at the right time.

In general, the strategy development team should include people with

- **Influence**—Respected individuals who strategically and selectively inform decisionmakers and reinforce their commitments to policy action. These are people with the clout, connections, and access to those in power that are essential to achieve significant policy change.
- **Expertise**—Professionals—such as those with expertise in family planning, policymaking, and supply chain management—who inform the development of strategies and guide their implementation. These people form the basis of core working groups at national and district levels. They are key informants who identify advocacy opportunities and provide evidence and policy-relevant anecdotes based on their experience and expertise. They also help to monitor the impact of policy change and promote family planning within their own constituencies.

- **Frontline experience**—For these individuals, family planning and advocacy are part of their daily work. They have the skills and resources needed to organize and motivate other champions, implement advocacy strategies to meet long-term goals, and apply policy change to programs and service delivery.
- **Facilitation skills**—A facilitator(s) can foster a positive discussion, challenge assumptions, mediate disagreement, and keep the session on task and on time. Whether volunteer or paid, a facilitator—who is experienced and has done his or her homework regarding group dynamics and the issue or goal to be addressed—can help assure a highly efficient strategy development process.

These individuals will often have differences of opinion; steps 2–8 outline a collaborative process for building consensus.

## Selecting District Working Group Members in Indonesia

In November 2010, AFP helped establish a district-level working group in Bandung to provide a forum for local leaders to identify advocacy opportunities. Advocates identified insufficient budget allocation for family planning field staff and volunteers as a significant barrier in expanding access to long-acting contraceptive methods at health facilities. Invited to take part in the working group and advocacy strategy were local representatives from the government family planning program (BKKBN), the local development planning agency, the district health office, the Family Welfare program movement, the community empowerment and village government unit, clinical groups, the village officers association, and the family planning health center, among others. The district working group also had strong representation from government authorities, which increased its legitimacy and provided internal knowledge about selecting the most appropriate advocacy opportunities.

## DO'S AND DON'TS

- **Do ensure that the right people are at the planning table.** Having the contribution and commitment of key stakeholders who have the authority to speak on behalf of their organization can make strategizing much easier.
- **Don't rush through the collaborative process.** Allowing enough time to build consensus and lay out a specific and focused strategy will help you achieve your objective faster.

### Advanced Preparation Checklist

- Attendees—Who will be involved and why?
- Facilitation—Who will develop and manage the agenda?
- Date and Time—How soon can the group dedicate the time to develop a strategy?
- Invitations—Who will ask others to participate? Will an invitation from a government official or a particular family planning champion increase participation?
- Meeting space—What type of environment will lead to a productive strategy session?
- Cost—Will you need to pay for facilitation? The meeting space? Meals? If so, who will pay?
- Building agreement—If your group will be working together for the first time, do you need to provide materials related to your issue in advance? Are there ways to identify policy priorities prior to the meeting, such as a landscape assessment?



## Step 2: Set SMART Objectives

Every advocate dreams big: for a better world and better lives. Realizing big dreams, however, requires both a long-term view and a focus on near-term incremental progress. When developing an advocacy strategy, first determine what you hope to achieve in the long term. This is a key step in building consensus among your group. Then ask, “What am I *really* trying to accomplish and what can we do *now*?”

Therefore, it is critical that you identify a “SMART”<sup>1</sup> objective. SMART is a mnemonic device that helps to remember important elements of an advocacy objective. Results being pursued are SMART in the sense that they are:

**Specific**—What in particular will be achieved if advocacy efforts are successful? The more specific an advocacy objective is, the more likely it is that an advocacy strategy will result in the desired outcomes and impact. For example, an objective to “increase awareness” fails to indicate whose awareness is increased, by what means, about what, and when. Most important, it is not clear what will be accomplished with increased awareness. Being specific in framing one’s objective helps partners and policymakers mobilize behind a desired outcome and clarifies when an advocacy effort has succeeded.

**Measurable**—To know whether expected results have occurred, quantitative or qualitative descriptors are used to frame the objective. This framing allows someone outside of the advocacy effort to observe and verify the same results. For example, an objective to “increase a budget allocation for family planning by 5 percent in the next fiscal year” is measurable while “increased government support” is vague, making it difficult to measure.

In designing an advocacy objective, ensure that it is **SMART:**

**S**pecific  
**M**easurable  
**A**ttainable  
**R**elevant  
**T**ime-bound

<sup>1</sup> Doran, G.T. (1981). There’s a S.M.A.R.T. way to write management’s goals and objectives. *Management Review*, 70, 11(AMA FORUM), 35–36.

**Attainable**—Results should be within reach. Questions to ask include the following: Is the result achievable with available resources? Is the result possible in the time frame we have? Is there existing support for this issue, within the government and among other key stakeholders? Delivery of results against an objective requires careful scrutiny of the appropriate level of human, technical, and financial resources in hand.

**Relevant**—The objective being pursued should actively contribute to the overall goal of your advocacy efforts. There should be a plausible and close link between the planned activities, the resources available, partnerships in hand, and the desired outcomes.

**Time-bound**—Objectives are more likely to be met when a specific date for achievement or completion is set; agreeing on a specific time helps with planning the deployment of resources (e.g., staff or volunteer time, finances) and activities (e.g., policy briefs, budget analysis meeting minutes).

### TIP

“Swim with the current”—  
SMART objectives should  
reflect government priorities  
and/or already have a  
groundswell of support.

For example, the goal of the Family Planning 2020 (FP2020) initiative is to enable 120 million more women and girls in some of the world’s poorest countries to access contraceptive information, services, and supplies, without coercion or discrimination. Achieving this goal requires many policy and programmatic interventions. AFP’s contribution to achieving this broad goal is to employ strategic advocacy to increase financial investment and political commitment to high-quality family planning.

From this broad vantage point, SMART objectives are needed to achieve incremental progress, or quick wins, that can lead over time to accomplishment of the broad goal. An example of a SMART objective is to increase the district health budget for family planning by 5 percent in the next year or to incorporate community-based distribution of contraceptive injectables into the Ministry of Health guidelines.

## Group Work 2.1

### Agree on a Broad Goal and One SMART Objective

Identify a broad goal that reflects the priorities of participants. Once the group comes to consensus on a goal, begin to brainstorm on near-term SMART objectives. This can be done as one large group or by splitting into smaller groups.

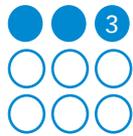
The group next assesses whether the objectives are in fact SMART and chooses one objective to be the centerpiece of the advocacy strategy. To decide which objective is the highest priority, ask which one is most achievable and has the greatest potential to improve access to contraceptive information, services, and supplies and/or which one must be realized before other objectives can be addressed.

Your group may decide to take on more than one objective. Each objective, however, requires its own advocacy strategy and careful consideration as to whether the group has the capacity to work on two or more objectives at the same time. Remember that success on the first objective will provide evidence that progress is possible and ensure that the team is better placed to quickly achieve other priority objectives.

Record your answers in Appendix 1, Box 2.1.

#### DO'S AND DON'TS

- **Do ensure that your objective meets all the SMART criteria.** Test it with others in the room or with those not involved in the strategy's development to ensure it is SMART.
- **Don't make the mistake of selecting an overly ambitious objective.** You may need to strategize for a while to get to an objective that can be met in the near term.



## Step 3: Identify the Decisionmaker

The likelihood of success increases when your advocacy effort identifies a specific person in power. After developing your SMART objective, it is crucial that you focus on the decisionmaker (or, in some cases, decisionmakers) who has the power to achieve your objective. To identify the key decisionmaker, ask these important questions:

- How are decisions made on the issue you seek to address?
- Who is in the best position to help you achieve your objective? For example, if you seek to add a line item for family planning in the national government budget, is your key decisionmaker in the Ministry of Health or the Ministry of Finance? If there is a lag in procurement of contraceptive supplies within the public system, who is the gatekeeper who has the authority to streamline policies and procedures?

### Group Work 3.1 Name Key Decisionmakers

As a group, determine the answers to the questions above and identify the decisionmaker(s) who can make your objective a reality. Record the person(s) name and position, and make sure the group agrees on the selection. There may be more than one decisionmaker, which often comes to light during this discussion. Keep in mind that the more you know about the decisionmaker(s), the easier it will be to develop and implement your strategy. You will use your knowledge of the decisionmaker and the decision-making process as you develop the specifics of your strategy, including messages and requests for policy action.

Record your answers in Appendix 1, Box 3.1.

- Do you need to focus on the highest level (i.e., the Minister of Health or Finance) or is there someone else who advises the minister, such as the director of preventive or reproductive health services or the person who actually writes the budget, such as a budget analyst?
- Do you need more than one decisionmaker to achieve your objective?

### DO'S AND DON'TS

- **Do identify the right decisionmaker.** For example, sometimes a mid-level official, not a high-level official, is actually better positioned to take the first action toward your objective.
- **Don't assume that there is only one decisionmaker.** You may need to persuade more than one person or the leadership of a governing body.

## Phase 2: Focus Efforts

### Step 4: Review the Context

Knowing the context in which you are working helps to understand the external factors that can influence your ability to succeed. This exercise is similar to a Strengths, Weaknesses, Opportunities, and Threats (SWOT) analysis.

#### ASSESS OUTSIDE INFLUENCES

An external review (also called a “landscape assessment”) assesses an organization or coalition’s opportunities and challenges from an environmental perspective. For example, what planned events or policy decision points can you build your strategy around? What opposition might you face when trying to achieve your objective? Are there global processes or alliances that can help you make connections or provide other resources? Is there competition for resources or attention that you might face?

If there are a lot of challenges or opposition, are they insurmountable? It may be worth ranking the challenges to see whether you need to change your objective.

See “Implement a Plan” for an overview to conducting a landscape assessment.

## Group Work 4.1

### Map External Factors that Can Aid or Impede Your Advocacy Strategy

Carefully consider and record your organization or coalition's opportunities and challenges from an external perspective. What is happening within the environment that will influence your ability to achieve the objective set in Step 2? Make two lists: one for external opportunities and the other for external challenges. Step 7 focuses on internal opportunities and challenges.

Record your answers in Appendix 1, Box 4.1.

#### DO'S AND DON'TS

- **Do assess whether the challenges can be overcome and whether this is the right time to tackle your objective.** The environment/timing might be more favorable later on.
- **Don't forget to assess regional, national, and even international factors that might assist or impede your progress.** Think as broadly as possible to ensure that you fully understand the landscape in which you are working.


 The icon consists of a 2x3 grid of circles. The top row has three solid blue circles. The bottom row has three circles: the left and right ones are white with blue outlines, and the middle one is solid blue with the number '5' inside.
 

## Step 5: Know the Decisionmaker

Refer back to Step 3 where you identified your decisionmaker(s). Step 5 consists of exploring all that you know about this person. How does he or she feel about your issue? Where does your decisionmaker stand on family planning?

Consider all the things you might want or need to know about your decisionmaker(s):

- What is their profession/background?
- Have they made any statements for or against family planning?
- Have they voted for or against issues related to population, family planning, or maternal or reproductive health?
- Who is in their social/political circle? Whose opinion do they care most about?
- Are they willing and able to act on issues they care about? Are they willing to act on family planning?

In addition to the information above, you should determine how best to approach the decisionmaker. How will you persuade your decisionmaker—are you starting at the very beginning by *sharing basic knowledge on family planning and your issue*? Assuming the person is knowledgeable, are you *building his or her confidence and willingness to act on your issue*? If your decisionmaker is already active on the issue, you may be *thanking him or her for past work* in order to encourage continued support of your issue. Below are three invaluable ways to support your decisionmaker in taking action:

- **Provide information**—Your decisionmaker may not know about family planning or may know but not care or believe that it is important or as important as other issues. You may need to provide basic information before he or she can consider taking action. For example, what is the size of the problem you hope to address? What does the decisionmaker need to know to make him or her prioritize this issue? What are the most effective ways to address your issue and what are the positive consequences that can be expected?

- **Encourage the will to act**—What is most likely to persuade the decisionmaker to take action? What is the easiest action he or she can take that will also make a difference? How does your request fit within the decisionmaker’s own agenda and perception of risk? Why will collaboration with your group on this issue be beneficial? What will he or she gain? Professionally? Personally? In terms of his or her reputation?

The task is to ease the decisionmaker’s perceived risk. One way to do this is to point to other leaders who have taken action on family planning and show that the benefits outweighed the risks.

- **Recognize their leadership**—Acknowledging a decisionmaker for taking positive action can be powerful. Thank the decisionmaker publicly and celebrate her or his role in securing a “win.” Conveying appreciation for the decision made will encourage a decisionmaker to act again in the future if a need should arise.

As you think about family planning issues from the perspective of the decisionmaker, it is also important to know what a decisionmaker values. Put yourself in the person’s shoes and adapt your strategy to what her or his priorities are for improving the population’s health, well-being, and social and economic development and for her or his career and legacy.

Think about what matters most to your decisionmaker(s) and consider the environment in which they operate:

- Do they care most about maternal health or women’s rights?
- Is it important to them that public health programs are cost-effective?
- Does their age make them more or less open to change?
- Is it evidence or personal experience that appeals to them most?
- Is it an election year and will their post possibly be affected?
- Is the President supportive of family planning?
- Is policy action on your issue a way to advance their careers or reputations within regional or global arenas?

Finally, the views of decisionmakers can and do change. As you implement your strategy, monitor public statements and record intelligence you receive from meetings or those who know the decisionmakers well to help fine-tune your approach and request for action.

## Group Work 5.1

### Assess Each Decisionmaker's Knowledge, Values, and Willingness to Act

Brainstorm with your group to find answers to the questions in the “Knowing Your Decisionmaker” section. Write your answers, noting any other relevant information about your decisionmaker.

Determine the needs of the decisionmaker for information, encouragement, or recognition. Make a note of your group's decision.

Develop a core value or value statement for your decisionmaker, clearly noting what they care about (or value) most.

The work done here will influence the decisions you make in Step 6 as you formulate your messages and advocacy ask.

Record your answers in Appendix 1, Box 5.1.

#### DO'S AND DON'TS

- **Do find out as much as you can about your decisionmaker(s).** The better you know them, the more you can tailor your strategy around them.
- **Don't assume you know your decisionmaker(s);** talk to people who know the decisionmaker(s) well so that your assessment is as factual as possible.



## Step 6: Determine the Ask

Now you know which issues are most important to the decisionmaker(s) who can move resources, set policy, and influence others to follow their lead. In preparing for the next step—asking someone to act—think about how people make decisions and shape your SMART request accordingly.

In *rational* arguments, evidence is essential. Advocates must be well versed in (1) current research findings to identify gaps in access to contraceptive services; (2) proven and promising program approaches; and (3) returns on investment in the provision of family planning information, services, and supplies. Advocacy that is evidence-based helps neutralize controversy and lead to agreement.

### **RATIONAL ARGUMENTS**

Use facts or evidence

### **EMOTIONAL ARGUMENTS**

Use evocative stories and photos

### **ETHICAL ARGUMENTS**

Use a rights-based approach

*Emotional* arguments add the human dimension. They rely on personal stories to underscore the commonality of experience and the potential for policy to alleviate suffering and improve lives.

*Ethical* arguments take other people into account and incorporate an understanding of social and cultural norms. They center on justice, sympathy, and awareness of the implications of one's action or inaction.

No one type of argument wins the agreement of a decisionmaker. Many decisionmaker-centered strategies often need and use a combination of arguments or even all three. To be effective, advocates must think carefully about which arguments may be most compelling. For example, a policymaker with a background in medicine or economics may be more interested in data analyses and projections. Consider how your request to a decisionmaker will integrate rational, emotional, and ethical arguments to strengthen your ability to win consensus and see policy change.

## Enabling Community Health Workers to Provide Contraceptive Injectables in Kenya

In 2012, advocates in Kenya considered the arguments needed to persuade the leadership of nursing associations and key policymakers to support guidelines that would enable community health workers to provide contraceptive injectables. They developed a set of powerful talking points to support their request.

- They drew on years of operations research documenting that community health workers could safely provide this family planning method—a *rational* argument.
- They recognized the experience and expertise of nurses and their concerns that women receive high-quality care—an *emotional* argument.
- And, they stressed that community-based care would overcome inequality between women living in rural and urban areas and among the wealthiest and the poor—a *moral/ethical* argument.

The nursing association endorsed the guidelines, which were launched nationwide in 2013.

## Group Work 6.1

### Build a Strong Case for Family Planning from Multiple Perspectives

Brainstorm the rational, emotional, and ethical reasons why a decisionmaker should support your advocacy request. Think through the evidence/stories/ethical framework you would need to convince a decisionmaker to act toward achieving your objective. Write down a list of potential rational, emotional, and moral/ethical arguments that support your issue.

From what you know about the decisionmaker, prioritize which arguments are most likely to achieve a positive response to your advocacy ask. You will consider these again as you complete the message box (see below).

Record your answers in Appendix 1, Box 6.1.

### THE FIVE-POINT MESSAGE BOX

Many advocacy strategies employ a message box to guide thinking (see Figures 1 and 2). AFP builds on the message box designed by Spitfire Strategies in their Smart Chart™ ([www.smartchart.org](http://www.smartchart.org)) to develop a simple, consistent, evidence-based request for policy action—the ask. For AFP, success depends on seeing the decisionmaker as an ally and helping him or her to buy into providing more resources and a better policy environment. It also depends on developing consensus among coalitions that are committed to this agenda but have differing views on how to address it. Advocacy strategies may focus on many decisionmakers. It may be necessary to develop a message box for more than one decisionmaker.

#### TIP

Refer to the decisionmaker by name and not title alone. It helps to tailor the message more accurately.

Figure 1: The five-point message box

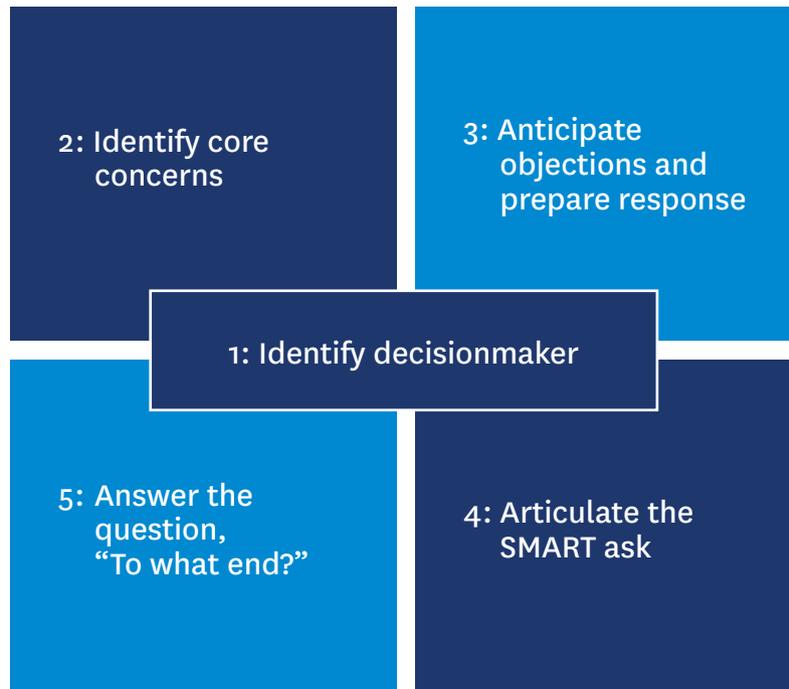
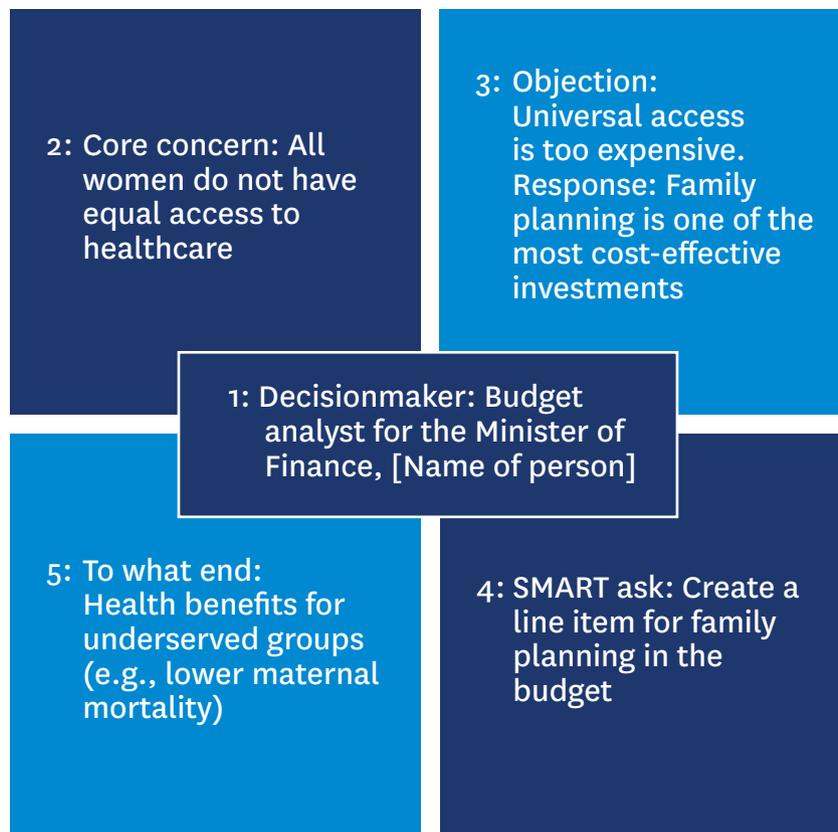


Figure 2: Example message box



## Group Work 6.2

### Develop a Message Box for the SMART Objective

For this exercise, refer back to what you discovered about your decisionmaker(s) in steps 3 and 5.

- 1. Identify a specific decisionmaker.** Referring to the decisionmaker by name and not title alone helps to tailor the message more accurately.
- 2. Identify the core concerns and values of a single decisionmaker.** What does he or she care about? What public remarks or policy changes has the person made or introduced, respectively, that relate to family planning? Focus on the decisionmaker's values system, not your own.  
Tip: Review all that you know about your decisionmaker, gathered in Step 3.
- 3. Anticipate a decisionmaker's objections and reservations and craft arguments to overcome them.** For example, if a decisionmaker considers other health issues to be a higher priority for the national health budget, advocates can provide evidence of (1) the need for and cost effectiveness of family planning, (2) gender disparities within health investments, and (3) stories of women who wait hours to obtain their chosen contraceptive method because it is a priority for them.  
Tip: Review the rational, emotional, and ethical arguments that you identified earlier to see which should be included. Only provide the information that is most relevant to the values and core concerns of the decisionmaker and the challenges he or she faces.
- 4. Articulate a SMART advocacy ask.** The advocacy ask centers on what can realistically be achieved and should closely align with the SMART objective of the overall advocacy strategy. It also is something that the decisionmaker has the capacity and comfort level to do and has more benefits than risks.
- 5. Answer the question, "To what end?"** Tell a decisionmaker why acting on your request has benefits for people, communities, and countries and why it reinforces the values identified in Step 2. It should focus on the positive and convey hope that progress is possible and that a decisionmaker's leadership can make a difference.

Record your answers in Appendix 1, Box 6.2.

## DELIVER THE MESSAGE

Unexpected opportunities often arise when you have access to a decisionmaker and it is appropriate to make your case. Thus, every individual involved in developing your advocacy strategy should be able to articulate the SMART ask and the supporting message points.

For example, one AFP coalition member in Kenya had worked in vain to secure an appointment with a senior government official in the Ministry of Health. But when that official cut the ribbon to open the new office of her organization, initiating a conversation that focused on the advocacy ask was easy. The official agreed to a follow-up meeting and was well prepared to consider the request to support community-based distribution of contraceptive injectables. Once you have a message box, it is easy to remember what your policy objective is and how you want to approach the individual in power.

A common mistake in advocacy is to think that conviction and expertise are the best qualifications of a messenger. For instance, just because a coalition member is passionate about securing NGO access to family planning commodities through the National Medical Stores does not mean he or she is the best messenger. It is important to consider if the decisionmaker will listen to her or him. The messenger can be as important as the message. A right message delivered by the wrong messenger is likely to be dismissed.

### TIP

Consistency counts: In successful strategies, the specifics of the SMART objective, advocacy ask, and Quick Win are the same.

Consider who your decisionmaker listens to and who will have the most influence on whether he or she agrees to act. For the Ministry of Finance official who drafts the budget, an economist or peer from another ministry may be most influential. Depending on the government structure, district health officers or mayors, for example, may be more influenced by authorities within their own constituency or by central government officials.

## Selecting an Influential Messenger in Indonesia

The advocacy ask of the Bandung district working group referenced in Step 1 was to secure a budget allocation for family planning staff and volunteers from district leadership. They identified the Association of Indonesian Village Governments (Asosiasi Pemerintahan Desa Seluruh Indonesia, or APDESI) as having the most influence over local village leaders, including the village council and headman, who in turn control budgets. Advocacy efforts directed toward APDESI led to a new mandate that village leaders in Bandung allocate funds, within their Village Equity Budget, to specific activities such as (1) coordination meetings between family planning field staff and volunteer workers and (2) efforts to meet the need for counseling and transportation to health centers for those seeking access to long-acting and permanent methods.

A by-product of this initiative was that several family planning champions came forward. One Bandasari village leader, who engaged Village Development Teams, led by example: he announced his own vasectomy to his community. The Head of the District Government Planning Office in Bandung became a vocal proponent of investment in family planning, stating that it is essential to family welfare and national development and achievement of the Millennium Development Goals.

## ASK THE DECISIONMAKER TO ACT

Once you have identified the best messenger, it is time to prepare for delivering the message and advocacy ask. If the messenger is not a member of the group developing the strategy, you will need to develop a plan to enlist her or his support.

As you prioritize activities in Step 7, it is likely that your work plan will include one or more small meetings to make the request for a policy action. It is critical to prepare for those meetings. Put yourself in the place of the decisionmaker and plan accordingly. Take care to prepare briefing materials that support your request (see “Implement a Plan, Part 2: An Overview of Evidence-based Policy Briefs”).

### TIP

Success breeds success—achieving a series of near-term quick wins adds up to fulfillment of long-term goals.

Protocol differs in every country and should be considered in your preparation.

- Will your request be part of an informal discussion or require a formal presentation?
- Will you need to wear professional clothing?
- How much time will you have to make your case?
- If more than one of you is involved in the meeting, who will present the issue and who will ask the decisionmaker to act?
- How will you follow up after the meeting?
- Is another meeting needed? It often helps to role play to see if your message is clear and concise.

During the meeting itself, respect the time constraints of busy decisionmakers. Confirm the amount of time you have for your meeting either before or during the meeting. Be sure to make a brief, straightforward case for why your issue is important. Remember that this is a dialogue, and make time for the decisionmaker to fully participate in the conversation. Be sure to assign one person to deliver your advocacy ask. Wait for a response. There is no need to fill the silence while a decisionmaker considers your request. Finally, quickly review anything you will do to follow up on the meeting and thank the decisionmaker for his or her time, regardless of whether his or her response was favorable to the advocacy ask.

## Group Work 6.3

### Practice Delivering the Message and Identify the Best Person to Make the Ask

Develop role plays to present the request for action. This could be done in pairs or as a single group. Each person should practice being both the decisionmaker and the messenger. Use the questions listed above as a guide to shaping your request.

Select one person to take the message forward. Together with your group, ask, “Who does the decisionmaker typically listen to?”

Tip: It is often the person to whom it is hardest for the decisionmaker to say no.

Record the name of the messenger in Appendix 1, Box 6.3.

#### DO’S AND DON’TS

- **Do ensure that each point in the message box supports the other points.** The message box will come in handy as you prepare to meet your decisionmaker.
- **Do rehearse before you meet your decisionmaker and be prepared for any question or challenge that arises.** If you are well prepared, your confidence will be evident to the decisionmaker and bring you closer to realizing your objective.
- **Do ensure your coalition is prepared for unscheduled/impromptu meetings with the decisionmaker.** Be prepared to take advantage of the opportunity.
- **Don’t assume your decisionmaker knows as much as you know about your issue.** Come prepared with fact sheets, briefs, and other background documentation in case he or she asks, but do not overdo it. It is better to respond to questions than it is to share all you know.
- **Don’t be defeated if your meeting with the decisionmaker does not go the way you planned.** Return to your coalition for a re-assessment and possible re-tooling of your strategy.

# Phase 3: Achieve Change

## Step 7: Develop a Work Plan and Budget

You have now set the stage for reaching your objective and are ready to plan in detail who will take action, when, and with what resources. It will be the starting point for mobilizing your group and others toward achieving a Quick Win.

An advocacy strategy focused on decisionmakers and a near-term quick win rarely includes activities to raise awareness or generate media coverage. Instead, the strategy makes the best use of existing opportunities to influence a decision (e.g., the annual budget cycle, review of the poverty reduction plan, etc.) and activities that directly link to what will help a decisionmaker to act. It will also involve asking the decisionmaker to act. Plan for success and consider which activities are the easiest for members of your group to accomplish and what each member can contribute.

### **ASSESS INTERNAL RESOURCES**

The internal review assesses an organization or coalition's assets and challenges from a capacity perspective, for example:

- What organizational staff or volunteer resources do you have to implement your advocacy strategy?
- Are you well known or little known by decisionmakers?
- Are you part of larger coalitions, networks, or working groups that may provide insight on such things as the political environment, needed evidence, or the realities of family planning service delivery?
- Do you have relationships with prominent spokespeople, access to funds, alliances with powerful professional associations, expertise on the issue, and/or research/evidence?

The internal review also includes the challenges you may face in not having sufficient influence or time to commit in carrying out an advocacy strategy.

## Group Work 7.1

### Checklist: Map the Internal Resources Available to Support Your Advocacy Strategy

As a group or in small groups, carefully record all the assets and challenges your group has internally (meaning, within the group). Consider the assets each person/organization brings to the table and the challenges each person/organization faces, and also consider the assets/challenges of the collective group as a whole. Questions to ask include

- Do we have the financial resources?
- Do we have the time?
- Do we have the data to support our request?
- Do we have the human resources?

Record your answers in Appendix 1, Box 7.1

### SPECIFY ADVOCACY ACTIVITIES

Refer back to your SMART objective from Step 2. In this step, you will brainstorm ideas for advocacy strategies; as you do, test them against the objective: How will the activity further your objective? How does it relate to what the decisionmaker considers important? Is the activity worth the time and money it will require?

Create a detailed timeline with assignments and deadlines for specific activities. Estimate how much time and funds each will require. A field visit for an official to understand that contraceptive injectables can be safely provided by community health workers will be more expensive than a one-on-one meeting with the policymaker who oversees the national family planning program.

Estimate costs for each activity. It is essential to have an idea of the resources needed for each item. Budget figures can be verified later and as the work plan is finalized. Assign one individual or organization to coordinate the strategy and see that all steps are implemented. If possible, distribute the advocacy plan with

assignments to all those involved on the day of the meeting or the next day. Discuss how best the group can keep track of progress and of new developments that may necessitate a change in strategy, such as a new political appointment or change in donor support. The Decision Tree described in "Capture Results" can help you make strategic choices as your work plan is implemented.

## Group Work 7.2

### Create a Detailed Work Plan and Budget

As a group or in small groups, discuss possible activities to support your SMART objective, the timing of implementing them, who will be involved, and the estimated costs. Ask the hard questions. Is each activity needed to achieve a Quick Win? Is the activity worth the time and money? How can the strategy be made as simple as possible to implement? Carefully record group decisions and individual responsibilities.

Once you have a draft plan, review it in the context of the message you will use with each identified decisionmaker. For example, if your message asks him or her to approve guidelines allowing community health workers to provide contraceptive injectables, review your plan to ensure that your activities all directly contribute to making this happen. Take time to discuss whether every activity is needed to reach your objective and whether your plan is realistic. Every success will energize your efforts to identify and achieve the next objective.

Record your answers in Appendix 1, Box 7.2.

## DO'S AND DON'TS

- **Do ensure you have names next to each activity and know who will take charge of ensuring that activity occurs.** List an individual's name, not just an organization's name.
- **Do revisit the work plan periodically to see if the activities are happening as scheduled or if they need to change.** Perhaps you will need to add another step or two along the way.
- **Don't forget that most coalition members have other jobs as well.** Assigning one person as the overall strategy manager can help keep activities moving.

## Step 8: Set Benchmarks for Success

How will you know your work plan is succeeding? At the end of the day, success is seeing that all individuals have access to the family planning information, services, and supplies that will enable them to time or prevent pregnancies as desired.

There are many ways to fulfill this vision of success, and advocacy is only one. It is, however, a powerful one that sets standards and provides resources for groups of people rather than individuals.

There are three ways to measure the success of advocacy efforts:

1. **Outputs**—did you carry out all the activities in your work plan?
2. **Outcomes**—did you fulfill your SMART objectives and achieve a Quick Win?
3. **Impact**—did your Quick Win improve the situation for those who need and want access to family planning?

For more on monitoring and evaluating advocacy efforts, refer to the “Capture Results” component.

## Group Work 8.1

### List Indicators of Progress

As a group or in small groups, determine the outputs and outcome(s) that will help you monitor progress. Examples of outputs could include a policy brief developed as the result of a policy analysis, a crucial meeting with a key influencer or messenger, or a briefing for a decisionmaker. In the AFP approach, outcomes are quick wins and the product of your advocacy strategy.

Review the information that is available to measure impact in the long term and identify data that provide a current picture of needs and practices and that can be monitored over time. For example, what are the current levels of funding for family planning? What is the current contraceptive prevalence rate in your country?

Record your answers in Appendix 1, Box 8.1.



## Step 9: Implement and Assess

With a strategy and work plan in place, it is time to take action. The secret to implementing a successful strategy and work plan is to maintain and build on the enthusiasm that comes with developing a collaborative way forward.

Any development—positive or negative—is an opportunity to revisit and revise the advocacy strategy. It is a good practice to review your progress to ensure that you are on track and that your plans reflect the current policy environment. If the situation changes (an election results in new policymakers that can directly impact your objective or new research either supports or negates what you want to achieve), it is important to make course corrections.

It is essential that your group has the flexibility to add new activities, develop new message boxes, and/or know when to re-strategize if no progress has been made (see “Understand and Manage Setbacks” in “Capture Results”). Most important, checking in frequently helps to determine when advocacy efforts succeed and how best to capitalize on that success.

When a Quick Win occurs, consult “Capture Results” to document your process and evaluate outcomes. If your group was successful, re-convene the members (or bring in new members) to select another SMART objective and Quick Win. Next time, the group will likely be able to move through the steps more quickly—or begin at a later stage.

### DO'S AND DON'TS

- **Do remember that it is okay to change your plan in response to new developments.** You may need to re-strategize or re-plan if you are not seeing progress.
- **Don't act in isolation.** Continue to consult with policymakers and other informants.

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AFP aims to increase the financial investment and political commitment needed to ensure access to high-quality family planning through evidence-based advocacy.

# Appendix 1: Group Exercise Worksheets

## Phase 1: Build Consensus

### Box 2.1

Broad Goal:

SMART Objective:

### Box 3.1

Identify Decisionmaker:

## Phase 2: Focus Efforts

Box 4.1 External Challenges	Box 4.1 External Opportunities

Decisionmaker Name (from Box 3.1):

Knowledge:



Values:

Box 5.1  
Core Value:



Willingness to Act:

Box 6.1

Identify Decisionmaker:

Rational

Emotional

Ethical

### Box 6.2 Message Box

2: Core Concern:	3: Objection:
1: Decisionmaker Name:	
5: To What End?	4: SMART Ask:

### Box 6.3

Messenger Name:

# Phase 3: Achieve Change

Box 7.1 Internal Challenges	Box 7.1 Internal Opportunities

Box 7.2 Basic Work Plan Template			
SMART Objective	Next Steps/ Input Activities	Estimated Budget	Person(s) Responsible
			Timeline
Box 8.1 Indicators of Progress			
Anticipated Outputs:		Anticipated Outcomes (Quick Wins):	

# Appendix 2: The AFP SMART Advocacy Approach in Nine Steps

<b>Phase 1: Build Consensus</b>	
Step 1: Decide Who to Involve	Ensure all relevant players are at the table: those with influence, expertise, frontline experience, and/or skills in facilitation. Review the advanced preparation checklist to plan your strategy development session.
Step 2: Set SMART Objectives	Be clear on what you hope to achieve in the long term. Set SMART objectives to achieve incremental progress or quick wins that can lead over time to accomplishment of the broad goal.
Step 3: Identify the Decisionmaker	Identify the specific decisionmaker (or, in some cases, decisionmakers) who has the power to achieve your objective. Use your knowledge of the decisionmaker and the decision-making process as you develop the specifics of your strategy, including messages and requests for policy action.
<b>Phase 2: Focus Efforts</b>	
Step 4: Review the Context	Review the external factors that may influence your ability to succeed. Assess opportunities and challenges from an environmental perspective, such as decision points, planned events, opposition, partnerships or alliances, and competition or resources. Rank challenges to see whether you need to refresh your objective.

Step 5: Know the Decisionmaker	Consider all the things you might want or need to know about your decisionmaker and determine how best to approach him or her. It is important to know what a decisionmaker cares about, and his or her values, in order to reach him or her effectively and request a policy change that will result in action.
Step 6: Determine the Ask	Brainstorm the rational, emotional, and ethical reasons why a decisionmaker should support your advocacy request. Think through the evidence/stories/ethical framework you would need to convince a decisionmaker to act toward achieving your objective. Develop a message box to outline a simple, consistent, evidence-based request for policy action—the ask. Select a messenger: take time to consider who your decisionmaker listens to and who will have the most influence on whether he or she agrees to act.
<b>Phase 3: Achieve Change</b>	
Step 7: Develop a Work Plan	Map the internal resources available to support your advocacy strategy. Brainstorm specific advocacy activities and evaluate them against the SMART objective. Create a detailed timeline with assignments, the financial resources available, and the specific person responsible.
Step 8: Set Benchmarks for Success	Consider the outputs and outcome(s) that will help you monitor progress. Review the information that is available to measure impact in the long term and identify data that you are able to monitor during and subsequent to strategy implementation.
Step 9: Implement and Assess	Review assignments and next steps and set up the next phone or in-person check-in on progress. Evaluate your progress against benchmarks to ensure that you are on track. Be flexible enough to add new activities, develop new message boxes, and/or know when to re-strategize if no progress has been made. When a Quick Win occurs, document your process and evaluate outcomes.



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